4-3-06





OCKET NO.: UPN-4377/P3152

PATENT · ·

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David C. Myles, et al.

Confirmation No.: 4870

Application No.: 10/817,532

Group Art Unit: 1614

Filing Date: April 2, 2004

Examiner: Niloofar Rahmani

For: SYNTHETIC TECHNIQUES AND INTERMEDIATES FOR POLYHYDROXY

DIENYL LACTONES AND MIMICS THEREOF

EXPRESS MAIL LABEL NO: EV816192146US DATE OF DEPOSIT: Warch 31, 2006

EV87P74574P02

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

DOCKET NO.: UPN-4377/P3152 - 2 -**PATENT** before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required. In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore: Certification in Accordance with § 1.97(e) is attached; or The fee of \$180.00 as set forth in \$1.17(p) is attached. П In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in $\S 1.17(p)$. \boxtimes Copies of reference numbers 18 - 21 listed on the attached Form PTO-1449 are enclosed herewith. \boxtimes Copies of reference numbers 22 - 23 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i). Copies of references are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

The relevance of those listed references which are not in the English language is as

follows:

DOCKET NO.: UPN-4377/P3152 - 3 -

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-

3050. This form is submitted in duplicate.

March 31, 2002e

Date:

Walter C. Frank

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Form P	rorm PTQ-1449 Vioninen		Docket No UPN-437		Application No. 10/817,532			
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant David C. Myles, et al.					
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EXAMINER				DATE CONSIDERED				

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	Cite	tent and Publication od by Applicant al sheets if necessar	-	Applicant David C. Myles, et al.			
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